



## FACTS

### Why?

### WHAT DOES FINANCIAL SECURITY MANAGEMENT, INC DO WITH YOUR PERSONAL INFORMATION?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and income
- Assets and transaction history
- Investment experience and risk tolerance

When you are *no longer* our customer, we continue to share your information as described in this notice.

### How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Financial Security Management, Inc. chooses to share and whether you can limit this sharing.

Reasons we can share your personal information	Does Financial Security Management, Inc. ?	Can you limit this sharing?
<b>For our everyday business purposes</b> —such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
<b>For our marketing purposes</b> —to offer our products and services to you	YES	YES
<b>For joint marketing with other financial companies</b>	NO	We do not share
<b>For our affiliates' everyday business purposes</b> —information about your transactions and experiences	NO	We do not share
<b>For our affiliates' everyday business purposes</b> —information about your creditworthiness	NO	We do not share
<b>For our affiliates to market to you</b>	YES	YES
<b>For nonaffiliates to market to you</b>	YES	YES

### To limit our sharing

**Call** 303.988.1411

**Please note:** If you are a new customer, we can share your information 30 days after sending this notice. When you are no longer our customer, we continue to share your information as described in this notice.

To opt-out of receiving text messages, Clients can reply to the text message number they signed up with by writing the word "STOP".

However, you can contact us at any time to limit our sharing. By executing a Financial Security Management Advisor Agreement, you have agreed to "opt-in" to this privacy policy.

**Questions?**

Call 303.988.1411

**Who we are****Who is providing this notice?**

Financial Security Management, Inc.

**What we do****How does Financial Security Management, Inc. protect my personal information?**

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

**How does Financial Security Management, Inc. collect my personal information?**

We collect your personal information, for example, when you

- open an account or give us contact information
- enter into an investment adviser contract or give us your income information
- tell us about your investment or retirement portfolio

We also collect your personal information from other companies.

**Why can't I limit all sharing?**

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing

**Definitions****Affiliates**

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- ***Financial Security Realty, Inc.***

**Nonaffiliates**

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

**Joint marketing**

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- ***Financial Security Realty***

**Other important information**

Text messaging opt-in data and consent are not shared with any third parties (including subsidiaries and affiliates).

By signing and executing Financial Security Management's Agreement, you agree to opt into this privacy policy.